The Effect of Word Frequency and Lexical Class on Articulatory-Acoustic Coupling

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Abstract

Word frequency and lexical class distinction between function and content words have been shown to significantly influence word production. In this paper, we use real-time magnetic resonance imaging to investigate the effect of word frequency and lexical class on articulatory characteristics (the articulator speed) as well as acoustic characteristics (F_0 and short-term energy) in word production. Multiple regression analyses showed that word frequency exhibits significantly higher correlation with articulatory and acoustic factors for content words compared to function words. A Granger causality analysis uncovered a causal relationship from articulatory speed to F_0/energy for low-frequency content words. We further observed, using functional canonical correlation analysis, a tight coupling of articulatory and acoustic characteristics for low-frequency content words. These results support the view that word frequency distinctly influences the production of function and content words as manifested in their articulation and acoustics, as well as the dynamic coupling of these temporal streams.

Index Terms: word frequency, lexical class, articulatory-acoustic coupling, real-time MRI, speech production.

1. Introduction

An understanding of the various factors influencing word production and the variability therein is essential for developing models of speech production and recognition. In particular (and pertinent to the present paper), duration, word frequency and the lexical class distinction between the closed class of function words (prepositions, articles, pronouns, etc.) and the open class of content words (adjectives, adverbs, verbs, nouns, etc.) play a crucial role in the models of speech production [1].

The effects of word frequency on the production of words have been long studied by researchers. Early studies have pointed out the reduced articulation of frequent words [2, 3]. More recent studies have shown that less frequent words are lengthened, strengthened and have fuller forms, while frequent words are shorter in duration, and contain reduced vowels or deleted codas [1, 4, 5]. Lexical class also has been shown to influence word production. For instance, content words carry semantic meaning, and so they are generally prosodically richer than function words which carry syntactic information [6]. Research from the stuttering literature suggests that speakers are more likely to make repetition and hesitation errors on initial function words than on content words (as opposed to function words that occur after content words), and has proposed that function word dysfluencies serve to complete the plan of the upcoming content word [7]. Further support for the hypothesis that function and content words might differ in production arises from the observation that they are involved in different types of speech errors. For example, word exchanges are common in content words, while segmental shifts mainly occur on function words [8].

The distinction in word frequency effects on the production of function and content words has also been studied. Segalowitz et al. found a strong frequency effect for content words but not for function words when examining word-naming in sentence contexts [9]. Bell et al. examined the effects of word frequency and predictability on durations for function and content words, and found that frequent content words have shorter durations, while function words don't exhibit any such characteristics [1]. They suggest that there must exist a production mechanism that associates a word's lexical activation with its strength and rate of articulation, and that this association is implemented by a short-term coordination that moderates the pace of articulation when the progress of phonological encoding is slowed. A general motivation for a mechanism like this comes from the need for the production system to maintain temporal coordination between the conceptual/lexical and articulatory temporal streams of speech [1]. In this paper, our goal is to investigate whether such a temporal coordination exists, by studying the effect of word frequency and lexical class on articulatory as well as acoustic characteristics during word production.

Researchers have found that during speech production, people tend to make more effort to produce unfamiliar words, while they access and produce familiar words much faster [10]. Hence, we hypothesize that low-frequency content words, which are more unfamiliar than high-frequency words, might involve a tighter dynamic coupling between the articulatory and acoustic temporal streams. To test this hypothesis, we pose two questions: (1) How is word frequency related to the articulatory or acoustic signals during speech production, and further, how is this relation different for function and content words? (2) How does word frequency influence the dynamic interplay (e.g., causal interaction) of articulatory and acoustic signals for function and content words, and further, can we quantify these temporal dynamics? We address the first question using a multiple regression analysis, with word frequency as the target variable, and either articulatory or acoustic factors as predictors. For the second, we use a Granger causality analysis to uncover causal links between the articulatory and acoustic timeseries data, and functional canonical correlation analysis (FCCA) to quantify the dynamic correlation between these streams.

We use real-time magnetic resonance imaging (rtMRI) to capture the movements of the vocal tract along with synchronized audio during speech production [11]. This modality is

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25 – 29 August 2013, Lyon, France

ideal to investigate the articulatory and acoustic dynamics and their coupling. Although there are other invasive modalities including x-ray microbeam, electropalatography, electromagnetic articulography and ultrasound that allow us to capture speech articulation at a relatively higher temporal resolution, none of these modalities offer as complete a view of all vocal tract articulators at a sufficiently high spatial resolution [12].

2. Data

We analyzed the *read* speech of 2 native speakers (one male and one female) of American English who spoke 460 sentences (about 3000 words) while being imaged in an MRI scanner [13]. Spoken responses and MRI videos of vocal tract articulation were recorded and time-synchronized with the audio [14]. We reconstructed the data using a sliding window technique at a rate of approximately 23.3 frames per second. Since MRI scanners generate noise, the recorded audio was post-processed using a custom noise-cancellation algorithm before analysis [15]. Further details and sample MRI movies can be found at http://sail.usc.edu/span. We automatically extracted the air-tissue boundary of the articulatory structures in each frame of video using an algorithm that hierarchically optimizes the observed image data fit to an anatomically informed object model through a gradient descent procedure [16].

3. Method

3.1. Frames of Interest

We obtained phone- and word-level alignments of (the audio portion of) the corpus using SailAlign, a HTK-based phonetic alignment tool [17]. We categorized the words by lexical class into function and content words in the following manner. We first parsed all words in the corpus using the Stanford Parser [18] to obtain part-of-speech (POS) tags. We then manually corrected mislabeled tags according to context. After that, we categorized each POS tagged word as a function or content word. The function word class includes articles, prepositions and conjunctions, while the content word category contains nouns, verbs, adverbs and adjectives. Note that almost all function words in our corpus are monosyllabic, so we restrict our analysis to *only* monosyllabic function and content words.

3.2. Articulatory and Acoustic Features

We extracted pitch ($F0$) and short-term energy (E) as features of acoustic-prosodic information content. We extracted these features every $10ms$ with window length $30ms$ at the word level. We further applied a median filter to remove any spurious spikes in the pitch and energy estimates. The unvoiced and silence portions are excluded from our analysis.

As a characteristic feature of dynamic articulation, we used the 'gradient frame energy' (GFE) measure, which essentially captures the average speed of vocal tract articulators. GFE has been previously used for pause behavior analysis in [19] . We computed this measure as follows. Based on the extracted contour outlines of the vocal tract (see Section 2), we created binary mask images, with all pixels enclosed by these contour outlines assigned with a normalized value of 1, and the rest with 0, such that the midsagittal section of the vocal tract appears white on a black background. We then calculated the GFE measure of an MR image frame by subtracting the previous frame of binary mask image from the current one, and computing the sum of absolute values of all pixels of the resulting image. Finally, we
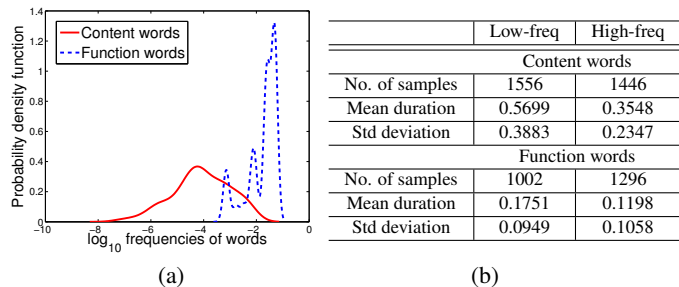

		Low-freq	High-freq
	Content words		
No. of samples		1556	1446
Mean duration		0.5699	0.3548
Std deviation		0.3883	0.2347
	Function words		
No. of samples		1002	1296
Mean duration		0.1751	0.1198
Std deviation		0.0949	0.1058

(a)	(b)

Figure 1: (a) Distribution of log_{10} frequencies and (b) duration (sec) statistics for function and content words.

z-scored both acoustic and articulatory features by speaker.

3.3. Functional Data Construction

As described in Section 3.2, we characterize each word by an articulatory or acoustic feature time series, i.e., $y_1^f, y_2^f, \cdots, y_T^f$, where T is the length of time series and f represents the feature type. In order to examine the dynamic relation between articulatory and acoustic time series in Section 5, we use functional data analysis (FDA) techniques [20, 21] to transform the discrete feature data into continuous functional data, i.e., $x(t) = \sum_{k=1}^{K} c_k \phi_k(t)$, where $\phi_k(t)$ is the basis function. The coefficients $\mathbf{c}$ are estimated by minimizing the fitting error: $\mathbf{c} = \arg\min_{\mathbf{c}} \sum_{i=1}^{T} (y_i - x(t_i))^2 + \lambda \int [Lx(t)]^2$. $Lx(t)$ is the roughness of $x(t)$, and λ is the smoothing parameter trading off the fit to y_i and the roughness. We use the second derivative of $x(t)$ as the roughness, and choose B-splines as the basis functions. We further use the Generalized Cross Validation criterion to determine λ for each feature time series [20].

3.4. Word Frequency

Word frequency is estimated as the count of the word's occurrences divided by the total number of words in a given corpus. The frequencies of words in our database are sourced from the genre-balanced, 450 million word Corpus of Contemporary American English (COCA)[1]. This allows us to obtain more accurate estimates of English word frequencies than if they were estimated from counts of word occurrences in our smaller corpus. Figure 1(a) shows the distribution of log_{10} frequency values[2] of function and content words in our corpus. Observe that function-word frequency is generally higher than the content-word frequency. The mean values of log_{10} frequency for function and content words are -1.7957 and -4.3944 respectively.

4. Relations between Word Frequency and Articulatory/Acoustic Signals

In this section, we examine how word frequency is related to F_0, short-term energy E, and GFE using multiple linear regression. We extract 8 statistical functionals each of F_0, E and GFE (see Section 3.2) for each word: mean, median, standard deviation, max, min, range, kurtosis and skewness. We conduct regression analyses with word frequency as the target, and either articulatory or acoustic factors (statistical functionals) as predictors in both function and content word cases.

Table 1 presents (only) the significant articulatory and acoustic predictors of word frequency for function and content

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[1]http://www.wordfrequency.info

[2]In order to better represent the full dynamic range of word frequency values, we use a logarithmic transform of word frequency which ranges over several orders of magnitude.

words as obtained from the regression analyses ($\alpha = 0.95$). Besides the max of GFE, the common significant factor for both function and content words, the range, standard deviation and kurtosis of GFE are all significant predictors of word frequency for content words. Furthermore, in the regression model with articulatory factors as predictors, R^2 is 0.153 for content words and 0.025 for function words. We also observe similar results by using acoustic functionals as predictors of word frequency, in which case R^2 is 0.108 for content words and 0.038 for function words. The low R^2 for the regression models could be due to the small number of variables (GFE, E and F_0) we examine. Nevertheless, the closer relation of word frequency to the articulatory or acoustic factors for content words indicates that the realization of function and content words is distinctly influenced by word frequency. We also notice that the range of F_0 is a significant factor of word frequency for both function and content words, which is in agreement with the finding that frequent words usually have reduced pitch range [4].

Table 1: Significant articulatory or acoustic factors regarding to word frequency for function and content words.

Content words				Function words
Articulation				
GFE_range	GFE_std	GFE_kurtosis	GFE_max	GFE_max
Acoustic				
E_skewness	F_0_range	E_min	E_mean	F_0_range

5. Articulatory-Acoustic Coupling and its Relation to Word Frequency

In the previous section, we have examined how word frequency is related to specific characteristics of articulation or the acoustics of that word. In this section, we investigate how word frequency influences the dynamic interplay between articulatory and acoustic measures for function and content words, both qualitatively and quantitatively. Based on Figure 1(a), we first categorized words into low-frequency ($[-8, -4.1)$ for content words and $[-4, -1.8)$ for function words) and high-frequency ($[-4.1, 0]$ for content words and $[-1.8, 0]$ for function words) categories. We also summarize, in Figure 1(b), information regarding the number of samples and mean duration of words in each category. Note that the classes are fairly balanced with respect to the number of samples. We also observe that low-frequency content words are significantly shorter than high-frequency ones ($p < 0.01$), which is in strong agreement with earlier studies of word duration, frequency and lexical class [1].

5.1. Causality Analysis of Articulatory and Acoustic Measures

We used Granger causality (G causality) to test the direction and magnitude of causality influence between our articulatory and acoustic measures [22]. G causality is a statistical technique for analyzing causality of time series based on linear prediction [23]. Given two signals X and Y, consider the following two linear regression models: $\mathbf{y}_t = \sum_{i=1}^{i=p} \alpha_i \mathbf{y}_{t-i} + \epsilon(t)$ and $\mathbf{y}_t = \sum_{i=1}^{i=p} \beta_i \mathbf{x}_{t-i} + \sum_{i=1}^{i=p} \delta_i \mathbf{y}_{t-i} + \epsilon'(t)$. p is the maximum number of lags for X and Y in the models which is usually determined by Bayesian information criterion (BIC), the vectors α, β and δ contain the regression coefficients, ϵ is the residual of predicting Y from its past information, and ϵ' is the residual of predicting Y based on the joint past information of X and Y. The G causality measure is defined by taking the natural logarithm of the ratio of total variance of the residuals in the two models: $\mathcal{F}_{X \to Y} = \ln(\frac{|cov(\epsilon)|}{|cov(\epsilon')|})$, which is presented in Table 2. If the magnitude of $\mathcal{F}_{X \to Y}$ is significantly greater than zero, which can be tested through an F-test, then X is said to "Granger-cause" Y.

In each frequency category of function or content words, the feature time series, e.g., GFE, for each word is viewed as one realization. Therefore, we estimated a single model between GFE and F_0/E for each category directly from the multi-realization data, instead of estimating models for each trial. Since each trial of data is required to have the same length, we uniformly sampled 50 data points for each trial from the corresponding functional data (see Section 3.3).

We *did not* observe any significant causal relations in either direction between articulatory and acoustic signals for both low- and high-frequency function words. Table 2 presents the significant causality influences for content words in different frequency categories ($p < 0.05$), where a slash indicates that no significant causality exists. In the low-frequency category, the articulatory speed has a significant influence on F_0, but we observe no such effect in the opposite direction. We also observe an interaction between GFE and speech energy. In the high-frequency category, we observe no causal relations between articulatory and acoustic measures. When we analyzed both low- and high-frequency content words together, the causal relations remain similar to those in the low-frequency category, but the magnitude of the effect is smaller. Note that the observation of causality from GFE to F_0 could be due to the source-tract coupling, e.g., laryngeal raising and pharyngeal expansion. Another reason might be the articulatory structures we delineated also include the glottis, although the parameter GFE in our work mainly represents the average speed of vocal tract articulators.

Table 2: Magnitudes of G-Causality between articulatory and acoustic measures for content words.

	Low-frequency	High-frequency	All-frequency
GFE $\to F0$	0.5613	/	0.5567
$F0 \to$ GFE	/	/	/
GFE $\to E$	0.4505	/	0.4484
$E \to$ GFE	0.4814	/	0.4740

5.2. Quantifying Articulatory-Acoustic Coupling through FCCA

Section 5.1 provides a qualitative analysis of interrelation between articulatory and acoustic measures. In this section, we use a function canonical correlation analysis (FCCA) based on the functional data (as described in Section 3.3) to quantify the dynamical correlation of the two types of characteristics with respect to word frequency for function and content words.

FCCA is a tool for exploring the association between a pair of functional data [20], here articulatory and acoustic streams. Given N pairs of curves (X_i, Y_i) defined over a finite interval $\mathcal{T}$, the objective of FCCA is to find a pair of functions ξ_1 and η_1 such that the canonical variates $\int \xi_1 X_i$ and $\int \eta_1 Y_i$ are maximally correlated, i.e., $C_1 = \max_{\xi_1, \eta_1} \frac{\{cov(\int \xi_1 X_i, \int \eta_1 Y_i)\}^2}{(var \int \xi_1 X_i + \mu \int (D^2 \xi_1)^2)(var \int \eta_1 Y_i + \mu \int (D^2 \eta_1)^2)}$. μ is a smoothing parameter and D^2 denotes the second derivative. The functions ξ_1 and η_1 are called leading canonical variate weight functions, and C_1 is the leading canonical correlation. We can further look for subsidiary pairs of functions ξ_i and η_i, such that the same pair of canonical variates $\int \xi_i X_i$ and $\int \eta_i Y_i$ are correlated well but uncorrelated with other pairs of canonical variates. In this work, we will use the leading weight functions. The patterns of weight functions associated with canoni-
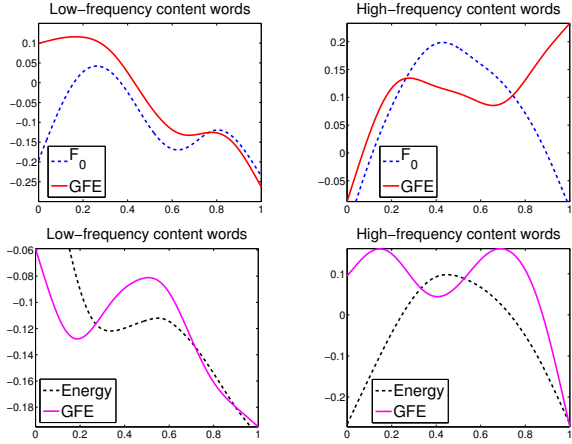
Figure 2: The leading canonical variate weight functions of GFE and $F0$/Energy for content words.

Figure 3: The leading canonical variate weight functions of GFE and $F0$/Energy for function words.

cal correlation will be used to quantify the mutual influence of temporal variabilities of articulatory and acoustic streams. Similar patterns and a higher canonical correlation C_1 indicate a tighter articulatory-acoustic coupling.

We conducted FCCA in each frequency category of function and content words respectively. We used 5-fold cross validation to select the smoothing parameter μ in each category, such that the prediction error is minimized [24]. Figure 2 shows the dynamic behaviors of the pairs of leading canonical variate weight functions for content words, where the x-axis represents time and y-axis represents magnitude of functions. Firstly, we can observe that the pairs of articulatory and acoustic weight functions for low-frequency content words, e.g., GFE and F_0 in the left top figure, are much more tightly coupled (i.e., varying in a relative similar manner), while the weight function pairs for high-frequency content words, e.g., GFE and E in the bottom right figure, do not exhibit as discernible an effect. This observation shows a tighter articulatory-acoustic coupling for low-frequency content words and adds further support to the hypothesis that people may need to make more effort to produce relatively unfamiliar words as described in Section 1. Secondly, in the low-frequency content words category, the peaks and valleys of the GFE weights are ahead of the corresponding peaks and valleys of the F_0/E weights (i.e., the articulatory stream leads the acoustic stream), which is not generally observed for high-frequency content words. This phase lag relationship is in agreement with the causality results presented in Section 5.1. It also suggests that this phase coupling observed in low-frequency content words might help maintain the coordination of the lexical access, articulation, and phonological encoding streams [1]. However, both of these phenomena are generally not discernibly observed for function words, as shown in Figure 3.

Table 3: The leading canonical correlation C_1 between articulatory and acoustic measures for function and content words.

	Content words		Function words	
	Low-freq	High-freq	Low-freq	High-freq
E-GFE	0.3118	0.2392	0.2016	0.2305
F0-GFE	0.3216	0.3007	0.2279	0.2245

Table 3 presents the corresponding leading canonical correlations C_1 between the articulatory speed GFE and acoustic measures F_0 and E. The canonical correlations for content words in low-frequency category are higher as compared to high-frequency content words. High-frequency function words
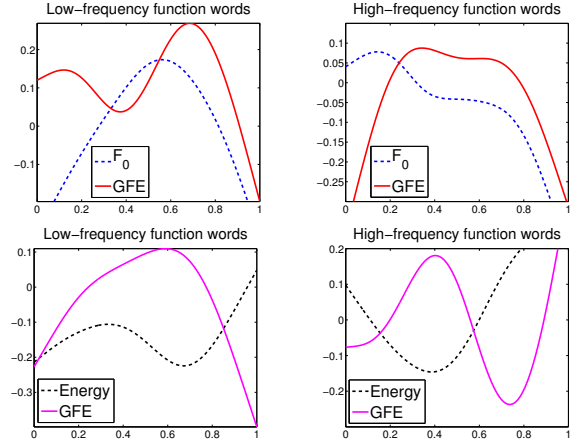
have a higher correlation between GFE and E as compared to low-frequency function words. We generally observe that functional correlations for content words are higher than those for function words. These results are consistent with the observation of weight functions obtained above.

6. Conclusion and Discussion

This paper investigates the relationship between the word frequency and the realization of function and content words in terms of articulatory and acoustic measures. We hypothesize that low-frequency content words, that are more unfamiliar than high-frequency words, might involve a tighter coupling between the articulatory and acoustic temporal streams. To test this hypothesis, our work has attempted to answer two specific questions: (1) how is word frequency related to the articulatory speed or acoustic measures (F_0 and short-term energy) respectively? (2) how does the word frequency affect the dynamic interplay of articulatory and acoustic signals in word production? Multiple regression analyses show that word frequency is more correlated with articulatory and acoustic signals for content words, supporting the view that word-familiarity affects the realization of words and such an influence differs for function and content words. Furthermore, the causal relationship observed between GFE and F_0/ Energy for low-frequency content words adds support to the hypothesis that the word planning in these cases involves a close coordination between lexical access, articulatory planning, and phonological encoding processes [1]. In addition, we observe a tighter coupling of articulatory and acoustic weight functions and a stronger articulatory-acoustic correlation for low-frequency content words, lending further support to our hypothesis that the word familiarity affects the realization of function and content words not only in terms of articulation or acoustics individually, but also in a dynamic sense via articulatory-acoustic coupling.

There remain many exciting avenues for future work. For instance, some researchers have suggested that function words, which involve differential access as compared to content words, belong to syntactic fragments and are accessed via a feature-lookup procedure [25]. Our analysis using articulatory data allows us to find support for, and consequently extend this model. Note that some potential confounds which we did not control for include phrase position and prosodic conditions. Additionally, we only looked at a small subject pool of 2 subjects. These factors will be included to extend the analysis for future research.

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